

Dean Phillips Net-Worth

Dean Phillips is a multimillionaire worth up to almost 100 million dollars. Previously, he has been cited as being worth an average of \$124 million – making him the fifth richest member of the House:

- Dean Phillips is worth between \$25,368,511.00 and \$95,022,999.00
 - Dean Phillips has \$30,368,511.00 - \$96,023,000.00 in assets. ([Personal Financial Disclosure](#), Filed: 8/13/2020)
 - Dean Phillips has \$1,000,001.00 – 5,000,000.00 in liabilities. ([Personal Financial Disclosure](#), Filed: 8/13/2020)

Editor's Note: the first liability listed claims that the balance is now \$0.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	JP Morgan	September 2010	Balance on line of credit	\$1,000,001 - \$5,000,000
COMMENTS: Current balance: \$0				
	JP Morgan	October 2018	Mortgage	\$1,000,001 - \$5,000,000

[\(Personal Financial Disclosure, Filed: 8/13/2020\)](#)

Dean Phillips Assets:

Holding	Underlying Asset	Low Value	High Value
100 University Family Limited Partnership	Artwork	\$ 100,001.00	\$ 250,000.00
100 University Family Limited Partnership	Commercial Property	\$ 5,000,001.00	\$ 25,000,000.00
JP Morgan Checking Account	Cash	\$ 250,001.00	\$ 500,000.00
JP Morgan Investment Account	Investment Accounts	\$ 1,000,001.00	\$ 5,000,000.00
U.S. Bank Checking Accounts	Checking Account	\$ 1,001.00	\$ 15,000.00
Fialko, LLC	AIF VI Private Investors LLC	\$ 50,001.00	\$ 100,000.00
Fialko, LLC	AP Europe VII (APAX) Private Investors Onshore LLC	\$ 50,001.00	\$ 100,000.00
Fialko, LLC	Carlyle Asia Partners II Private Investors LLC	\$ 1,001.00	\$ 15,000.00
Fialko, LLC	Cash in JP Morgan Checking Account	\$ 15,001.00	\$ 50,000.00

Fialko, LLC	Cash in JP Morgan Investment Account	\$ 250,001.00	\$ 500,000.00
Fialko, LLC	Euro Principal Currency	\$ 15,001.00	\$ 50,000.00
Fialko, LLC	Highbridge Mezzanine Partners, LP	\$ 50,001.00	\$ 100,000.00
Fialko, LLC	JP Morgan Alternative Property Fund Domestic 1 LP	\$ 1,001.00	\$ 15,000.00
Fialko, LLC	JP Morgan India Property Fund, LP	\$ 50,001.00	\$ 100,000.00
Fialko, LLC	JPM Prime MM Fd - Instl Fund 829 1.18% (JINXX)	\$ 250,001.00	\$ 500,000.00
Fialko, LLC	KKR 2006 Private Investors, LLC (onshore)	\$ 100,001.00	\$ 250,000.00
Fialko, LLC	Outsell, Inc.	\$ 250,001.00	\$ 500,000.00
Fialko, LLC	Penny's Coffee LLC	\$ 100,001.00	\$ 250,000.00
Fialko, LLC	Rational Energies LLC	\$ 250,001.00	\$ 500,000.00
Fialko, LLC	Residential Property	\$ -	\$ -
Phillips Family Properties, LLC	Cash in JP Morgan Checking Account	\$ 1,001.00	\$ 15,000.00
Phillips Family Properties, LLC	Artwork	\$ 1,001.00	\$ 15,000.00
Phillips Family Properties, LLC	Commercial Property	\$ 15,001.00	\$ 50,000.00
Privately Held Buisness Entity	DTP, LLC	\$ -	\$ -
Privately Held Buisness Entity	Geniecast, LLC	\$ 100,001.00	\$ 250,000.00
Privately Held Buisness Entity	MyMeds, Inc.	\$ 50,001.00	\$ 100,000.00
Privately Held Buisness Entity	Penny's Coffee LLC	\$ 100,001.00	\$ 250,000.00
Privately Held Buisness Entity	Rational Energies LLC	\$ 250,001.00	\$ 500,000.00
Privately Held Buisness Entity	Spark Boulder 24, LLC	\$ 250,001.00	\$ 500,000.00
Retirement Account 1	Fidelity Freedom K 2035 Fund (FSNUX)	\$ 15,001.00	\$ 50,000.00
Retirement Account 2	Fidelity Target Date 2035 Fund	\$ 15,001.00	\$ 50,000.00
Trust 1	Accenture Plc Class A Ordinary Shares (CAN)	\$ 15,001.00	\$ 50,000.00
Trust 1	Amer Funds T/E BND Am-F3 (TFEBX)	\$ 250,001.00	\$ 500,000.00
Trust 1	AMG MG Pictet Intl - Z (APCZX)	\$ -	\$ -
Trust 1	Bank of New York Mellon Corporation (BK)	\$ -	\$ -
Trust 1	Berkshire Hathaway Inc. New (BRK.B)	\$ 15,001.00	\$ 50,000.00
Trust 1	Blackrock High Yield PT - BLAC (BRHYX)	\$ 100,001.00	\$ 250,000.00

Trust 1	Blackstone Partners Investment Fund LP Class B	\$ 250,001.00	\$ 500,000.00
Trust 1	Brooking Holdings Inc. (BKNG)	\$ 1,001.00	\$ 15,000.00
Trust 1	Carlyle Asia Partners II Private Investors LLC	\$ 1,001.00	\$ 15,000.00
Trust 1	Cash in JP Morgan Investment Account	\$ 100,001.00	\$ 250,000.00
Trust 1	CenturyLink, Inc.	\$ -	\$ -
Trust 1	Cerner Corporation	\$ 15,001.00	\$ 50,000.00
Trust 1	Charles Swab Corporation (SCHW)	\$ 15,001.00	\$ 50,000.00
Trust 1	Chubb Limited (CB)	\$ 15,001.00	\$ 50,000.00
Trust 1	Comcast Corporation - Class A (CMCSA)	\$ 1,001.00	\$ 15,000.00
Trust 1	Corbin Capital Partners - Pinehurst Partners LP	\$ 250,001.00	\$ 500,000.00
Trust 1	Dodge & Cox Income Fund (DODIX)	\$ -	\$ -
Trust 1	Dodge & Cox Intl Stock Fund (DODFX)	\$ 100,001.00	\$ 250,000.00
Trust 1	Dollar General Corporation (DG)	\$ 15,001.00	\$ 50,000.00
Trust 1	Dollar Tree Inc. (DLTR)	\$ 15,001.00	\$ 50,000.00
Trust 1	Doubleline Total Return Bond - I (DBLTX)	\$ 50,001.00	\$ 100,000.00
Trust 1	Eaton Corporation, PLC Ordinary Shares (ETN)	\$ 1,001.00	\$ 15,000.00
Trust 1	Ebay Inc. (EBAY)	\$ -	\$ -
Trust 1	Eton Park Fund LP Class E - New Issue Ineligible Non-Self Dealing	\$ 1,001.00	\$ 15,000.00
Trust 1	Expeditors International of Washington, Inc. (EXPD)	\$ 1,001.00	\$ 15,000.00
Trust 1	Fox Corporation - Class A (FOXA)	\$ -	\$ -
Trust 1	Fox Corporation - Class B (FOX)	\$ -	\$ -
Trust 1	Franklin Resources, Inc. (BEN)	\$ -	\$ -
Trust 1	HD Supply Holdings, Inc. (HDS)	\$ 15,001.00	\$ 50,000.00
Trust 1	Highbridge Mezzanine Partners LP	\$ 15,001.00	\$ 50,000.00
Trust 1	Honeywell International Inc. (HON)	\$ 15,001.00	\$ 50,000.00
Trust 1	HPS Mezzanine Private Investors II LLC	\$ 100,001.00	\$ 250,000.00
Trust 1	iShares 3-7 Year Treasury Bond (IEI)	\$ 50,001.00	\$ 100,000.00
Trust 1	iShares 7-10 Year Treasury Bond (IEF)	\$ 50,001.00	\$ 100,000.00
Trust 1	iShares Barclays 1-3 Year Treasury Bond Fund (SHY)	\$ -	\$ -
Trust 1	iShares MSCI ACWI Index Fund (ACWI)	\$ 100,001.00	\$ 250,000.00
Trust 1	Ishares MSCI EAFE Index Fund (EFA)	\$ 500,001.00	\$ 1,000,000.00
Trust 1	Ishares MSCI Japan New (EWJ)	\$ 50,001.00	\$ 100,000.00
Trust 1	Ishares Russell 1000 Growth	\$ 50,001.00	\$ 100,000.00
Trust 1	iShares S&P 500 Growth Index (IVW)	\$ 100,001.00	\$ 250,000.00

Trust 1	iShares S&P 500 Value Index (IVE)	\$ 100,001.00	\$ 250,000.00
Trust 1	iShares Short Treasury Bond ETF (SHV)	\$ 100,001.00	\$ 250,000.00
Trust 1	JP Morgan Chase & Co. (JPM)	\$ 15,001.00	\$ 50,000.00
Trust 1	JPM Tax Free MMkt Inst Sweep Fd	\$ 500,001.00	\$ 1,000,000.00
Trust 1	JPMorgan Betabuilders Canada ETF (BBCA)	\$ 100,001.00	\$ 250,000.00
Trust 1	JPMorgan Betabuilders Developed Asia Ex-Japan ETF (BBAX)	\$ 50,001.00	\$ 100,000.00
Trust 1	JPMorgan Betabuilders Europe ETF (BBEU)	\$ 100,001.00	\$ 250,000.00
Trust 1	JPMorgan Betabuilders Japan ETF (BBJP)	\$ 50,001.00	\$ 100,000.00
Trust 1	JPMorgan Gbl RES ENH Idx - R6 (JEIYX)	\$ 250,001.00	\$ 500,000.00
Trust 1	Masco Corporation (MAS)	\$ 15,001.00	\$ 50,000.00
Trust 1	Matthews Pacific Tiger - Inst (MIPTX)	\$ 50,001.00	\$ 100,000.00
Trust 1	MFS Intl Value - R6 (MINJX)	\$ 100,001.00	\$ 250,000.00
Trust 1	National Oilwell Varco, Inc. (NOV)	\$ -	\$ -
Trust 1	Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY)	\$ 15,001.00	\$ 50,000.00
Trust 1	Northern Trust Corporation (NTRS)	\$ 1,001.00	\$ 15,000.00
Trust 1	Nutrien Ltd. Common Shares (NTR)	\$ 1,001.00	\$ 15,000.00
Trust 1	Omnicom Group Inc. (OMC)	\$ 15,001.00	\$ 50,000.00
Trust 1	Oracle Corporation (ORCL)	\$ -	\$ -
Trust 1	PACCAR Inc. (PCAR)	\$ 15,001.00	\$ 50,000.00
Trust 1	Pepsico, Inc. (PEP)	\$ 15,001.00	\$ 50,000.00
Trust 1	PPG Industries, Inc. (PPG)	\$ 15,001.00	\$ 50,000.00
Trust 1	Quest Diagnostics Incorporated (DGX)	\$ 15,001.00	\$ 50,000.00
Trust 1	Schlumberger N.V. (SLB)	\$ 15,001.00	\$ 50,000.00
Trust 1	Six Circles International Unconstrained Equity Fund	\$ 100,001.00	\$ 250,000.00
Trust 1	Six Circles Tax Aware Ultra Short Duration Fund \$100,000 \$1,000 (CUTAX)	\$ 50,001.00	\$ 100,000.00
Trust 1	Six Circles U.S. Unconstrained Equity Fund (CUSUX)	\$ 250,001.00	\$ 500,000.00
Trust 1	Smith & Nephew SNATS, Inc. (SNN)	\$ 15,001.00	\$ 50,000.00
Trust 1	Southwest Airlines Company (LUV)	\$ 1,001.00	\$ 15,000.00
Trust 1	SPDR S&P 500 ETF Trust (SPY)	\$ 500,001.00	\$ 1,000,000.00
Trust 1	Stanley Black & Decker, Inc. (SWK)	\$ 1,001.00	\$ 15,000.00
Trust 1	TE Connectivity Ltd. New Switzerland Registered Dividends \$15,000 Shares (TEL)	\$ 15,001.00	\$ 50,000.00
Trust 1	TJX Companies, Inc. (TJX)	\$ 15,001.00	\$ 50,000.00

Trust 1	Unilever PLC (UL)	\$ 15,001.00	\$ 50,000.00
Trust 1	UnitedHealth Group Incorporated Common Stock (UNH)	\$ 15,001.00	\$ 50,000.00
Trust 1	Vanguard Intm Trm T/E ADM (VWIUX)	\$ 500,001.00	\$ 1,000,000.00
Trust 2	Cash in JP Morgan investment account	\$ 1.00	\$ 1,000.00
Trust 2	Ishares MSCI ACWI Index Fund (ACWI)	\$ 100,001.00	\$ 250,000.00
Trust 2	JPMorgan Invest Balance Fd - Cl I (OIBFX)	\$ 50,001.00	\$ 100,000.00
Trust 2	JPMorgan Investor Grow Fd - Cl I (ONIFX)	\$ 500,001.00	\$ 1,000,000.00
Trust 3	Met Life / New England Financial Life Insurance Policy	\$ 250,001.00	\$ 500,000.00
Trust 4	Amer Funds T/E Bnd Fund Am-F3 (TFEBX)	\$ 15,001.00	\$ 50,000.00
Trust 4	AMG MG Pictet Intl-Z (APCZX)	\$ -	\$ -
Trust 4	Dodge & Cox Income Fund (DODIX)	\$ -	\$ -
Trust 4	Dodge & Cox Intl Stock Fund (DODFX)	\$ 1,001.00	\$ 15,000.00
Trust 4	Doubleline Totl Ret Bnd - I (DBLTX)	\$ 1,001.00	\$ 15,000.00
Trust 4	iShares 3-7 Year Treasury (IEI)	\$ 1,001.00	\$ 15,000.00
Trust 4	iShares 7-10 Year Treasury (IEF)	\$ 1,001.00	\$ 15,000.00
Trust 4	iShares Barclays 1-3 Year Treasury Bond Fund (SHY)	\$ -	\$ -
Trust 4	Ishares MSCI EAFE Index Fund (EFA)	\$ 1,001.00	\$ 15,000.00
Trust 4	Ishares Russell 1000 Growth (IWF)	\$ -	\$ -
Trust 4	iShares S&P 500 Growth Index (IVW)	\$ 15,001.00	\$ 50,000.00
Trust 4	iShares S&P 500 Value Index (IVE)	\$ 15,001.00	\$ 50,000.00
Trust 4	iShares Short Treasury Bond ETF (SHV)	\$ 1,001.00	\$ 15,000.00
Trust 4	JPM Tax Free MMkt Inst Sweep Fd	\$ 1,001.00	\$ 15,000.00
Trust 4	JPMorgan Betabuilders Canada ETF (BBCA)	\$ 15,001.00	\$ 50,000.00
Trust 4	JPMorgan Betabuilders Developed Asia Ex- Japan ETF (BBAX)	\$ 1,001.00	\$ 15,000.00
Trust 4	JPMorgan Betabuilders Europe ETF (BBEU)	\$ 15,001.00	\$ 50,000.00
Trust 4	JPMorgan Betabuilders Japan ETF (BBJP)	\$ 15,001.00	\$ 50,000.00
Trust 4	JPMorgan Glbl RES ENH Idx - R6 (JEIYX)	\$ 15,001.00	\$ 50,000.00
Trust 4	JPMorgan Interm T/F Bond-R6 (JITZX)	\$ 50,001.00	\$ 100,000.00
Trust 4	MFS Intl Value - R6 (MINJX)	\$ 15,001.00	\$ 50,000.00
Trust 4	PIMCO Short-Term Fund - Instl (PTSHX)	\$ -	\$ -
Trust 4	Rational Energies LLC	\$ 15,001.00	\$ 50,000.00
Trust 4	Six Circles International Unconstrained Equity Fund (CIUEX)	\$ 15,001.00	\$ 50,000.00

Trust 4	Six Circles Tax Aware Ultra Short Duration Fund (CUTAX)	\$ 1,001.00	\$ 15,000.00
Trust 4	Six Circles U.S. Unconstrained Equity Fund (CUSUX)	\$ 15,001.00	\$ 50,000.00
Trust 4	SPDR S&P 500 ETF Trust (SPY)	\$ 100,001.00	\$ 250,000.00
Trust 5	Amer Funds T/E BND Fund Am-F3 (TFEBX)	\$ 15,001.00	\$ 50,000.00
Trust 5	AMG MG Pictet Intl-Z (APCZX)	\$ -	\$ -
Trust 5	Dodge & Cox Income Fund (DODIX)	\$ -	\$ -
Trust 5	Dodge & Cox Intl Stock Fund (DODFX)	\$ 1,001.00	\$ 15,000.00
Trust 5	Doubleline Totl Ret Bnd - I (DBLTX)	\$ 1,001.00	\$ 15,000.00
Trust 5	iShares 3-7 Year Treasury Bond (IEI)	\$ 1,001.00	\$ 15,000.00
Trust 5	iShares 7-10 Year Treasury (IEF)	\$ 1,001.00	\$ 15,000.00
Trust 5	iShares Barclays 1-3 Year Treasury Bond (SHY)	\$ -	\$ -
Trust 5	Ishares MSCI EAFE Index Fund (EFA)	\$ 1,001.00	\$ 15,000.00
Trust 5	Ishares Russell 1000 Growth (IWF)	\$ -	\$ -
Trust 5	iShares S&P 500 Growth Index (IVW)	\$ 15,001.00	\$ 50,000.00
Trust 5	iShares S&P 500 Value Index (IVE)	\$ 15,001.00	\$ 50,000.00
Trust 5	iShares Short Treasury Bond ETF (SHV)	\$ 1,001.00	\$ 15,000.00
Trust 5	JPM Tax Free MMkt Inst Sweep Fd	\$ 1,001.00	\$ 15,000.00
Trust 5	JPMorgan Betabuilders Canada ETF (BBCA)	\$ 15,001.00	\$ 50,000.00
Trust 5	JPMorgan Betabuilders Developed Asia Ex-Japan ETF Dividends \$2,500 (BBAX)	\$ 1,001.00	\$ 15,000.00
Trust 5	JPMorgan Betabuilders Europe ETF (BBEU)	\$ 15,001.00	\$ 50,000.00
Trust 5	JPMorgan Betabuilders Japan ETF (BBJP)	\$ 15,001.00	\$ 50,000.00
Trust 5	JPMorgan Gbl RES ENH Idx - R6 (JEIYX)	\$ 15,001.00	\$ 50,000.00
Trust 5	JPMorgan Interm T/F Bnd- R6 (JITZX)	\$ 50,001.00	\$ 100,000.00
Trust 5	MFS Intl Value - R6 (MINJX)	\$ 15,001.00	\$ 50,000.00
Trust 5	Pimco Short-Term Fund - Instl (PTSHX)	\$ -	\$ -
Trust 5	Rational Energies LLC	\$ 15,001.00	\$ 50,000.00
Trust 5	Six Circles International Unconstrained Equity Fund \$1,000 (CIUEX)	\$ 1,001.00	\$ 15,000.00
Trust 5	Six Circles Tax Aware Ultra Short Duration Fund (CUTAX)	\$ 1,001.00	\$ 15,000.00
Trust 5	Six Circles U.S. Unconstrained Equity Fund (CUSUX)	\$ 15,001.00	\$ 50,000.00
Trust 5	SPDR S&P ETF Trust (SPY)	\$ 100,001.00	\$ 250,000.00
Trust 6	Cash in JP Morgan investment account	\$ 15,001.00	\$ 50,000.00
Trust 6	Midland National Life insurance Policy	\$ 500,001.00	\$ 1,000,000.00

Trust 6	North American Life Insurance Policy	\$ 250,001.00	\$ 500,000.00
Trust 7	John Hancock Life Insurance Policy	\$ 500,001.00	\$ 1,000,000.00
N/A	3M Company (MMM)	\$ 15,001.00	\$ 50,000.00
N/A	A.O. Smith Corporation (AOS)	\$ 1,001.00	\$ 15,000.00
N/A	Abbott Laboratories (ABT)	\$ 1,001.00	\$ 15,000.00
N/A	AbbVie Inc. (ABBV)	\$ 15,001.00	\$ 50,000.00
N/A	ABIOMED, Inc. (ABMD)	\$ 1,001.00	\$ 15,000.00
N/A	Accenture plc Class A Ordinary Shares (ACN)	\$ 1,001.00	\$ 15,000.00
N/A	Activision Blizzard, Inc (ATVI)	\$ 1,001.00	\$ 15,000.00
N/A	Adobe Systems Incorporated (ADBE)	\$ 15,001.00	\$ 50,000.00
N/A	Aercap Holdings NV Var Rt ; dtd 10/10/2079	\$ 1,001.00	\$ 15,000.00
N/A	Affiliated Managers Group, Inc. (AMG)	\$ 1,001.00	\$ 15,000.00
N/A	AFLAC Incorporated (AFL)	\$ 1,001.00	\$ 15,000.00
N/A	Agilent Technologies, Inc. (A)	\$ 1,001.00	\$ 15,000.00
N/A	Albemarle Corporation (ALB)	\$ -	\$ -
N/A	Alexion Pharmaceuticals, Inc. (ALXN)	\$ 1,001.00	\$ 15,000.00
N/A	Align Technology, Inc. (ALGN)	\$ 1,001.00	\$ 15,000.00
N/A	Alliance Data Systems Corporation (ADS)	\$ -	\$ -
N/A	Allstate Corp PFD 5.1%	\$ 15,001.00	\$ 50,000.00
N/A	Allstate Corporation (ALL)	\$ 1,001.00	\$ 15,000.00
N/A	Alphabet Inc. - Class A (GOOGL)	\$ 50,001.00	\$ 100,000.00
N/A	Alphabet Inc. - Class C Capital Stock (GOOG)	\$ 15,001.00	\$ 50,000.00
N/A	Alpharetta GA Dev Auth 5.0% 5/01/2023 dtd 5/03/2016	\$ 15,001.00	\$ 50,000.00
N/A	Amazon.com, Inc. (AMZN)	\$ 50,001.00	\$ 100,000.00
N/A	American Airlines Group, Inc. (AAL)	\$ -	\$ -
N/A	American Express Company (AXP)	\$ 1,001.00	\$ 15,000.00
N/A	American International Group, Inc. New (AIG)	\$ 15,001.00	\$ 50,000.00
N/A	American Intl Group VAR RT 04/01/48	\$ 15,001.00	\$ 50,000.00
N/A	American Tower Corporation (AMT)	\$ 15,001.00	\$ 50,000.00
N/A	American United Life Insurance Company - Policy 1	\$ 1,001.00	\$ 15,000.00
N/A	American United Life Insurance Company - Policy 2	\$ 15,001.00	\$ 50,000.00
N/A	American Water Works Company, Inc. (AWK)	\$ 15,001.00	\$ 50,000.00
N/A	AmerisourceBergen Corporation (ABC)	\$ 1,001.00	\$ 15,000.00
N/A	AMG MG Pictet Intl - Z (APCZX)	\$ -	\$ -

N/A	Amgen Inc. (AMGN)	\$ 15,001.00	\$ 50,000.00
N/A	Amphenol Corporation (APH)	\$ 1,001.00	\$ 15,000.00
N/A	Analog Devices, Inc. (ADI)	\$ 1,001.00	\$ 15,000.00
N/A	Anthem, Inc. (ANTM)	\$ 1,001.00	\$ 15,000.00
N/A	Aon plc Class A Ordinary Shares (AON)	\$ 1,001.00	\$ 15,000.00
N/A	Apollo EPF III Private Investors, LLC (Onshore)	\$ 250,001.00	\$ 500,000.00
N/A	Apple Inc. (AAPL)	\$ 50,001.00	\$ 100,000.00
N/A	Applied Materials, Inc. (AMAT)	\$ 1,001.00	\$ 15,000.00
N/A	Arconic Inc. (ARNC)	\$ 15,001.00	\$ 50,000.00
N/A	Arista Networks, Inc. (ANET)	\$ -	\$ -
N/A	AT&T Inc. (T)	\$ 15,001.00	\$ 50,000.00
N/A	Athene Holding Ltd PFD (ATHPA)	\$ 1,001.00	\$ 15,000.00
N/A	Automatic Data Processing, Inc. (ADP)	\$ 15,001.00	\$ 50,000.00
N/A	AvalonBay Communities, Inc. (AVB)	\$ 1,001.00	\$ 15,000.00
N/A	Bank of America Corp Var Rt 03/05/2166 dtd 09/05/2014	\$ 15,001.00	\$ 50,000.00
N/A	Bank of America Corp Var Rt 09/15/2166	\$ -	\$ -
N/A	Bank of America Corp Var Rt 09/17/2165 dtd 03/17/2015	\$ 250,001.00	\$ 500,000.00
N/A	Bank of America Corporation (BAC)	\$ 15,001.00	\$ 50,000.00
N/A	Bank of New York Mellon Corporation (BK)	\$ 1,001.00	\$ 15,000.00
N/A	Bank of NY Mellon Corp 03/20/2166 dtd 08/01/2016	\$ 15,001.00	\$ 50,000.00
N/A	Bank of NY Mellon Corp Var Rt 12/20/2165 dtd 04/28/2015	\$ 15,001.00	\$ 50,000.00
N/A	Baxter International Inc. (BAX)	\$ -	\$ -
N/A	BB&T Corporation 5.85% PFD	\$ -	\$ -
N/A	Becton, Dickinson and Company (BDX)	\$ 15,001.00	\$ 50,000.00
N/A	Benefit Street Partners IV LLC (Providence Onshore)	\$ 500,001.00	\$ 1,000,000.00
N/A	Berkshire Hathaway Inc. New (BRK.B)	\$ 100,001.00	\$ 250,000.00
N/A	Biogen Inc. (BIIB)	\$ 15,001.00	\$ 50,000.00
N/A	BlackRock, Inc. (BLK)	\$ 1,001.00	\$ 15,000.00
N/A	Blackstone Commercial Real Estate Debt Fund - NQ L.P.	\$ 1.00	\$ 1,000.00
N/A	Blackstone Commercial Real Estate Debt Fund LP	\$ 1,001.00	\$ 15,000.00
N/A	Bloom Tree Fund QP, LP Series E	\$ -	\$ -
N/A	Booking Holdings Inc. (BKNG)	\$ 1,001.00	\$ 15,000.00

N/A	Boston Scientific Corporation (BSX)	\$ 1,001.00	\$ 15,000.00
N/A	BP Midstream Partners LP Common Units representing Limited Partner Interests (BPMP)	\$ 15,001.00	\$ 50,000.00
N/A	Brighthouse Financial, Inc. (BHF)	\$ -	\$ -
N/A	Bristol-Myers Squibb Company (BMY)	\$ -	\$ -
N/A	Broadcom Limited - Ordinary Shares (AVGO)	\$ 15,001.00	\$ 50,000.00
N/A	Brown Forman Inc Class B (BF.B)	\$ 1,001.00	\$ 15,000.00
N/A	C.H. Robinson Worldwide, Inc. (CHRW)	\$ 1,001.00	\$ 15,000.00
N/A	Capital One Financial CO PFD 5.00%	\$ 1,001.00	\$ 15,000.00
N/A	Capital One Financial Co Var Rt 12/01/2165 dtd 05/14/2015	\$ 15,001.00	\$ 50,000.00
N/A	Capital One Financial Corporation (COF)	\$ 1,001.00	\$ 15,000.00
N/A	Cardinal Health, Inc. (CAH)	\$ -	\$ -
N/A	CarMax Inc (KMX)	\$ 1,001.00	\$ 15,000.00
N/A	Caterpillar, Inc. (CAT)	\$ 1,001.00	\$ 15,000.00
N/A	CBRE Group Inc Common Stock Class A (CBG)	\$ 1,001.00	\$ 15,000.00
N/A	CD&R Fund X Private Investors, Cayman LP	\$ 250,001.00	\$ 500,000.00
N/A	Celgene Corporation (CELG)	\$ -	\$ -
N/A	Centerpoint Energy Inc SR NTS VAR RT Perp Mat dtd 08/22/2018	\$ 15,001.00	\$ 50,000.00
N/A	CenterPoint Energy, Inc (CNP)	\$ -	\$ -
N/A	CenturyLink, Inc. (CTL)	\$ 1,001.00	\$ 15,000.00
N/A	Cerberus VI Private Investors, LLC	\$ 500,001.00	\$ 1,000,000.00
N/A	Charles Schwab Corp 03/01/2166 dtd 10/31/2016	\$ 15,001.00	\$ 50,000.00
N/A	Charles Schwab Corporation (SCHW)	\$ 1,001.00	\$ 15,000.00
N/A	Charles Schwab Corporation PFD 5.95%	\$ 15,001.00	\$ 50,000.00
N/A	Charter Communications, Inc. - Class A (CHTR)	\$ 1,001.00	\$ 15,000.00
N/A	Chesterfield Cnty VA Cops Ref 5.0%; Due 11/01/21	\$ 15,001.00	\$ 50,000.00
N/A	Chipotle Mexican Grill, Inc. (CMG)	\$ 15,001.00	\$ 50,000.00
N/A	Chubb Limited (CB)	\$ 15,001.00	\$ 50,000.00
N/A	Cigna Corporation (CI)	\$ 15,001.00	\$ 50,000.00
N/A	Cincinnati Financial Corporation (CINF)	\$ 1,001.00	\$ 15,000.00
N/A	Cisco Systems, Inc. (CSCO)	\$ 15,001.00	\$ 50,000.00
N/A	Cit Group Inc. PFD 5.625%	\$ 1,001.00	\$ 15,000.00
N/A	Citigroup Capital XIII PFD 7.875%	\$ -	\$ -

N/A	CitiGroup Inc 02/15/2166 dtd 04/25/2016	\$ 15,001.00	\$ 50,000.00
N/A	CitiGroup Inc Var Rt 05/15/2166 dtd 11/13/2015	\$ 1,001.00	\$ 15,000.00
N/A	CitiGroup Inc Var Rt 11/15/2165 dtd 04/24/2015	\$ 250,001.00	\$ 500,000.00
N/A	Citigroup Inc Var RT Prep Mat DTD 09/12/2019	\$ 15,001.00	\$ 50,000.00
N/A	Citigroup, Inc. (C)	\$ 1,001.00	\$ 15,000.00
N/A	Citizens Financial Group PFD 6.35%	\$ 1,001.00	\$ 15,000.00
N/A	Citizens Financial Group, Inc. (CFG)	\$ 1,001.00	\$ 15,000.00
N/A	Citizens Finl Group Var Rt Prep Mat Dtd 05/24/18	\$ 1,001.00	\$ 15,000.00
N/A	Clark County NV Limited Tax-Flood Control Ltd 5%; Due 11/1/22	\$ 15,001.00	\$ 50,000.00
N/A	Clarksville TN Wtr Swr & Gas Rev Ref 5.0%; Due 02/01/22	\$ 15,001.00	\$ 50,000.00
N/A	CME Group Inc. - Class A (CME)	\$ 1,001.00	\$ 15,000.00
N/A	CMS Energy Corp PFD 5.875%	\$ 1,001.00	\$ 15,000.00
N/A	CMS Energy Corporation (CMS)	\$ 15,001.00	\$ 50,000.00
N/A	Coca-Cola Company (KO)	\$ 15,001.00	\$ 50,000.00
N/A	Cognizant Technology Solutions Corporation - Class A None None (CTSH)	\$ -	\$ -
N/A	Colgate-Palmolive Company (CL)	\$ 1,001.00	\$ 15,000.00
N/A	Comcast Corporation - Class A (CMCSA)	\$ 15,001.00	\$ 50,000.00
N/A	ConAgra Brands, Inc. (CAG)	\$ 1,001.00	\$ 15,000.00
N/A	Consolidated Edison, Inc. (ED)	\$ 15,001.00	\$ 50,000.00
N/A	Constellation Brands, Inc. (STZ)	\$ 1,001.00	\$ 15,000.00
N/A	Corning Incorporated (GLW)	\$ 1,001.00	\$ 15,000.00
N/A	Corteva, Inc. (CTVA)	\$ -	\$ -
N/A	Costco Wholesale Corporation (COST)	\$ 15,001.00	\$ 50,000.00
N/A	Coty Inc. Class A (COTY)	\$ 1,001.00	\$ 15,000.00
N/A	Crown Castle International Corp. (REIT) (CCI)	\$ 15,001.00	\$ 50,000.00
N/A	CSX Corporation (CSX)	\$ 1,001.00	\$ 15,000.00
N/A	Cummins Inc. (CMI)	\$ 1,001.00	\$ 15,000.00
N/A	Cushing MLP Opportunity Fund 1 LP	\$ 15,001.00	\$ 50,000.00
N/A	CVS Health Corporation (CVS)	\$ 1,001.00	\$ 15,000.00
N/A	Danaher Corporation (DHR)	\$ 15,001.00	\$ 50,000.00
N/A	DaVita Inc. (DVA)	\$ -	\$ -
N/A	Deere & Company (DE)	\$ 15,001.00	\$ 50,000.00
N/A	Delta Air Lines, Inc. (DAL)	\$ 1,001.00	\$ 15,000.00

N/A	DENTSPLY SIRONA Inc. (XRAY)	\$ 1,001.00	\$ 15,000.00
N/A	Deutsche X-Trackers MSCI EAF (DBEF)	\$ 100,001.00	\$ 250,000.00
N/A	Digital Realty Trust Inc PFD 6.35%	\$ 15,001.00	\$ 50,000.00
N/A	Digital Realty Trust PFD 6.625%	\$ 1,001.00	\$ 15,000.00
N/A	Digital Realty Trust, Inc. (DLR)	\$ -	\$ -
N/A	Discover Financial Svs Var Rt 04/30/2166 DTD 10/31/2017	\$ 15,001.00	\$ 50,000.00
N/A	DISH Network Corporation - Class A (DISH)	\$ 1,001.00	\$ 15,000.00
N/A	Dodge & Cox Intl Stock Fund (DODFX)	\$ 250,001.00	\$ 500,000.00
N/A	Dollar General Corporation (DG)	\$ 1,001.00	\$ 15,000.00
N/A	Dollar Tree, Inc. (DLTR)	\$ 1,001.00	\$ 15,000.00
N/A	Dominion Energy Inc Var Rt Perp Mat dtd 12/13/2019	\$ 15,001.00	\$ 50,000.00
N/A	Dow Inc. (DOW)	\$ -	\$ -
N/A	Duke Energy Corp PFD (DUK PA)	\$ 1,001.00	\$ 15,000.00
N/A	Duke Energy Corp SR NTS Var RT Perp Mat DTD 09/12/2019	\$ 1,001.00	\$ 15,000.00
N/A	DuPont de Nemours, Inc. (DD)	\$ 1,001.00	\$ 15,000.00
N/A	DXC Technology Company (DXC)	\$ 1,001.00	\$ 15,000.00
N/A	E*Trade Financial Corp VAR RT 09/15/2166 DTD 12/06/2017	\$ -	\$ -
N/A	Eaton Corporation, PLC Ordinary Shares (ETN)	\$ 15,001.00	\$ 50,000.00
N/A	eBay Inc. (EBAY)	\$ 1,001.00	\$ 15,000.00
N/A	Ecolab Inc. (ECL)	\$ 15,001.00	\$ 50,000.00
N/A	Edwards Lifesciences Corporation (EW)	\$ 1,001.00	\$ 15,000.00
N/A	Emerson Electric Company (EMR)	\$ 1,001.00	\$ 15,000.00
N/A	Equifax, Inc. (EFX)	\$ 1,001.00	\$ 15,000.00
N/A	Equinix, Inc. (EQIX)	\$ 1,001.00	\$ 15,000.00
N/A	Equity Residential Common Shares of Beneficial Interest (EQR)	\$ 1,001.00	\$ 15,000.00
N/A	Eversource Energy (ES)	\$ 15,001.00	\$ 50,000.00
N/A	Extra Space Storage Inc (EXR)	\$ 1,001.00	\$ 15,000.00
N/A	Facebook, Inc. - Class A (FB)	\$ 50,001.00	\$ 100,000.00
N/A	Fastenal Company (FAST)	\$ 1,001.00	\$ 15,000.00
N/A	FedEx Corporation (FDX)	\$ 1,001.00	\$ 15,000.00
N/A	Fifth Third Bancorp 09/30/2165 DTD 06/05/2014	\$ 1,001.00	\$ 15,000.00
N/A	Fifth Third Bancorp 12/31/2166 DTD 05/16/2013	\$ 15,001.00	\$ 50,000.00
N/A	Fiserv, Inc. (FISV)	\$ 1,001.00	\$ 15,000.00

N/A	Ford Motor Company (F)	\$ 1,001.00	\$ 15,000.00
N/A	Fortive Corporation (FTV)	\$ 1,001.00	\$ 15,000.00
N/A	Fox Corporation - Class A (FOXA)	\$ 1,001.00	\$ 15,000.00
N/A	Franklin Resources, Inc. (BEN)	\$ -	\$ -
N/A	Gap, Inc. (GPS)	\$ -	\$ -
N/A	General Mills, Inc. (GIS)	\$ -	\$ -
N/A	General Motors Company (GM)	\$ 15,001.00	\$ 50,000.00
N/A	Genuine Parts Company (GPC)	\$ 1,001.00	\$ 15,000.00
N/A	GIF IV Private Investors Onshore LLC Class A	\$ 15,001.00	\$ 50,000.00
N/A	Gilead Sciences, Inc. (GILD)	\$ 1,001.00	\$ 15,000.00
N/A	Goldman Sachs Capital II 5.793% Dec 03 2165 dtd 05/08/2007	\$ 1,001.00	\$ 15,000.00
N/A	Goldman Sachs Group Inc. Var Rt Perp Mat dtd 6/17/2019	\$ 1,001.00	\$ 15,000.00
N/A	Goldman Sachs Group, Inc Var Rt 05/10/2166 dtd 11/01/2017	\$ -	\$ -
N/A	Goldman Sachs Group, Inc Var Rt 11/10/2165 dtd 04/23/2015	\$ 250,001.00	\$ 500,000.00
N/A	Goldman Sachs Group, Inc Var Rt 11/10/2165 dtd 07/27/2016	\$ 15,001.00	\$ 50,000.00
N/A	Goldman Sachs Group, Inc. (GS)	\$ 1,001.00	\$ 15,000.00
N/A	GSO Private Investors II, LLC Class B	\$ 15,001.00	\$ 50,000.00
N/A	Harris Cnty Tx Sr Lien-Toll Rd-Ser A 5% 08/15/2028 dtd 05/14/2009	\$ -	\$ -
N/A	Hartford Financial Services Group, Inc. (HIG)	\$ 1,001.00	\$ 15,000.00
N/A	HCA Healthcare, Inc. (HCA)	\$ 1,001.00	\$ 15,000.00
N/A	Hewlett Packard Enterprise Company (HPE)	\$ 1,001.00	\$ 15,000.00
N/A	HI St Prerefdd-Ser DZ Unlimited Tax 5% 12/01/2019 dtd 12/07/2011	\$ -	\$ -
N/A	Home Depot, Inc. (HD)	\$ 15,001.00	\$ 50,000.00
N/A	Honolulu City & Cnty HI Wstwtr Sys Rev 5% A Jul 01 2025 Dtd	\$ 15,001.00	\$ 50,000.00
N/A	Host Hotels & Resorts, Inc. (HST)	\$ 1,001.00	\$ 15,000.00
N/A	HP Inc. (HPQ)	\$ 1,001.00	\$ 15,000.00
N/A	HPS Mezzanine Private Investors III LLC Onshore	\$ 500,001.00	\$ 1,000,000.00
N/A	Humana Inc. (HUM)	\$ 1,001.00	\$ 15,000.00
N/A	Huntington Bancshares VAR RT 07/15/2167	\$ 15,001.00	\$ 50,000.00
N/A	IA St Fin Auth Rev Ref-Green Bond 5.0%; Due 08/01/23	\$ 15,001.00	\$ 50,000.00

N/A	Illinois Tool Works Inc. (ITW)	\$ 15,001.00	\$ 50,000.00
N/A	Illumina, Inc. (ILMN)	\$ 1,001.00	\$ 15,000.00
N/A	Incyte Corporation (INCY)	\$ 1,001.00	\$ 15,000.00
N/A	Ingersoll-Rand plc (IR)	\$ 1,001.00	\$ 15,000.00
N/A	Intel Corporation (INTC)	\$ 15,001.00	\$ 50,000.00
N/A	Intercontinental Exchange Inc. (ICE)	\$ 1,001.00	\$ 15,000.00
N/A	Internationa Flavors & Fragrances, Inc. (IFF)	\$ 1,001.00	\$ 15,000.00
N/A	International Business Machines Corporation (IBM)	\$ 15,001.00	\$ 50,000.00
N/A	International Paper Company (IP)	\$ 1,001.00	\$ 15,000.00
N/A	Interpublic Group of Companies, Inc. (IPG)	\$ 1,001.00	\$ 15,000.00
N/A	Intuit Inc. (INTU)	\$ 15,001.00	\$ 50,000.00
N/A	Intuitive Surgical, Inc. (ISRG)	\$ 1,001.00	\$ 15,000.00
N/A	Invesco Ltd (IVZ)	\$ -	\$ -
N/A	IPG Photonics Corporation (IPGP)	\$ -	\$ -
N/A	iShares 3-7 Year Treasury Bond (IEI)	\$ 100,001.00	\$ 250,000.00
N/A	iShares 7-10 Year Treasury Bond (IEF)	\$ 100,001.00	\$ 250,000.00
N/A	iShares Barclays 1-3 Treasury Bond Fund (SHY)	\$ -	\$ -
N/A	Ishares MSCI EAFE Index Fund (EFA)	\$ 250,001.00	\$ 500,000.00
N/A	iShares S&P 500 Growth Index Fund (IVW)	\$ -	\$ -
N/A	iShares S&P 500 Value Index Fund (IVE)	\$ -	\$ -
N/A	iShares Short Treasury Bond ETF (SHV)	\$ 100,001.00	\$ 250,000.00
N/A	J.B. Hunt Transport Services, Inc. (JBHT)	\$ 1,001.00	\$ 15,000.00
N/A	Jacksonville Florida Special Rev Jacksonville 5% B-1A Oct 01 2024 Dtd	\$ -	\$ -
N/A	Jana Partners Qualified, LP Class A	\$ -	\$ -
N/A	Johnson Controls International plc Ordinary Share (JCI)	\$ 1,001.00	\$ 15,000.00
N/A	JP Morgan Alternative Property Fd Domestic 1 LP	\$ 1,001.00	\$ 15,000.00
N/A	JP Morgan Chase & Co Var Rt 10/30/2165 dtd \$5,001 - 03/10/2014	\$ 100,001.00	\$ 250,000.00
N/A	JP Morgan Chase & Co. (JPM)	\$ 15,001.00	\$ 50,000.00
N/A	JPM Tax Free MMkt Inst Sweep Fd	\$ 1,000,000.00	\$ 5,000,000.00
N/A	JPM US Real Estate Income & Growth Direct LP	\$ -	\$ -
N/A	JPMorgan Betabuilders Canada ETF (BBCA)	\$ 250,001.00	\$ 500,000.00

N/A	JPMorgan Betabuilders Developed Asia Ex-Japan ETF (BBAX)	\$ 100,001.00	\$ 250,000.00
N/A	JPMorgan Betabuilders Europe ETF (BBEU)	\$ 100,001.00	\$ 250,000.00
N/A	JPMorgan Betabuilders Japan ETF (BBJP)	\$ 250,001.00	\$ 500,000.00
N/A	JPMorgan Glbl RES ENH Idx - R6 (JEIYX)	\$ 500,001.00	\$ 1,000,000.00
N/A	JPMorgan US L/C Core PI - R6 (JLPYX)	\$ -	\$ -
N/A	Kansas City Southern (KSU)	\$ 1,001.00	\$ 15,000.00
N/A	Kellogg Company (K)	\$ 1,001.00	\$ 15,000.00
N/A	KeyCorp (KEY)	\$ 1,001.00	\$ 15,000.00
N/A	KeyCorp Var Rt 12/15/2165 dtd 09/09/2016	\$ 1,001.00	\$ 15,000.00
N/A	KLA-Tencor Corporation (KLAC)	\$ 1,001.00	\$ 15,000.00
N/A	Kohl's Corporation (KSS)	\$ 1,001.00	\$ 15,000.00
N/A	KS St. Dept of Transprt'n Hwy Rev Ser C 5.0%; Due 09/01/20	\$ 15,001.00	\$ 50,000.00
N/A	L Brands, Inc. (LB)	\$ -	\$ -
N/A	LA St Gas & Fuels Tax Revenue 4.0%; Due 05/01/22	\$ 15,001.00	\$ 50,000.00
N/A	Lake Travis TX Indep Sch Dist Perm Sch Gtd Fund Unlimited Tax 5% Feb	\$ 15,001.00	\$ 50,000.00
N/A	Liberty Mutual Group Inc 7.8% 03/15/2037 dtd 03/07/2007	\$ 15,001.00	\$ 50,000.00
N/A	Lincoln CNTY NC REF Unlimited Tax 5.0%; Due 6/01/2024	\$ 15,001.00	\$ 50,000.00
N/A	Lincoln National Corporation (LNC)	\$ 1,001.00	\$ 15,000.00
N/A	Linde plc Ordinary Share (LIN)	\$ 15,001.00	\$ 50,000.00
N/A	Louisville & Jefferson Cnty KY Met Gov't-Ser A Unltd Due 12/1/2024; dtd 12/1/2015	\$ 15,001.00	\$ 50,000.00
N/A	Lowe's Companies, Inc. (LOW)	\$ 1,001.00	\$ 15,000.00
N/A	LyondellBasell Industries NV Ordinary Shares Class A (LYB)	\$ 1,001.00	\$ 15,000.00
N/A	M&T Bank Corporation (MTB)	\$ 1,001.00	\$ 15,000.00
N/A	M&T Bank Corporation 05/01/2166 dtd 10/28/2016	\$ 15,001.00	\$ 50,000.00
N/A	M&T BK Corp VAR RT Perp Mat DTD 07/30/19	\$ 1,001.00	\$ 15,000.00
N/A	Mackay Municipal Strategic Opportunities Fd, LP Cl A Interests 03-17	\$ 1,001.00	\$ 15,000.00
N/A	Macy's Inc (M)	\$ 1,001.00	\$ 15,000.00
N/A	Madison AL Prerefunded-Warrants Unltd Tax 5.15% 02/01/2039	\$ -	\$ -
N/A	Managed Futures LLC 04-15	\$ -	\$ -

N/A	Marriott International - Class A (MAR)	\$ 1,001.00	\$ 15,000.00
N/A	Marsh & McLennan Companies, Inc. (MMC)	\$ 1,001.00	\$ 15,000.00
N/A	Mastercard Incorporated (MA)	\$ 15,001.00	\$ 50,000.00
N/A	Mattel, Inc. (MAT)	\$ -	\$ -
N/A	McCormick & Company, Incorporated (MKC)	\$ 1,001.00	\$ 15,000.00
N/A	McDonald's Corporation (MCD)	\$ 15,001.00	\$ 50,000.00
N/A	McKesson Corporation (MCK)	\$ 1,001.00	\$ 15,000.00
N/A	Medtronic plc. Ordinary Shares (MDT)	\$ 15,001.00	\$ 50,000.00
N/A	Memphis TN REF- GEN IMPT SER B	\$ 15,001.00	\$ 50,000.00
N/A	MetLife, Inc Var Rt 12/15/2165 dtd 06/01/2015	\$ 250,001.00	\$ 500,000.00
N/A	MetLife, Inc. (MET)	\$ 1,001.00	\$ 15,000.00
N/A	Metropolitan West T/R BD-PLN (MWTX)	\$ 250,001.00	\$ 500,000.00
N/A	MFS Intl Value - R6 (MINJX)	\$ 250,001.00	\$ 500,000.00
N/A	Micron Technology, Inc. (MU)	\$ 1,001.00	\$ 15,000.00
N/A	Microsoft Corporation (MSFT)	\$ 100,001.00	\$ 250,000.00
N/A	MN St Gen Fd Rev 5% 03/01/2022 dtd 11/21/2012	\$ 15,001.00	\$ 50,000.00
N/A	MN St Ref - Ser D Unlimited Tax 5.0%; Due 8/01/2024; dtd 8/11/2016	\$ 15,001.00	\$ 50,000.00
N/A	MO St Hwys & Trnst Ref-1st Lien-Ser A 5% 05/01/2021 dtd 06/03/2014	\$ 15,001.00	\$ 50,000.00
N/A	Mohawk Industries, Inc. (MHK)	\$ -	\$ -
N/A	Molson Coors Beverage Company Class B (TAP)	\$ -	\$ -
N/A	Mondelez International, Inc. - Class A (MDLZ)	\$ 1,001.00	\$ 15,000.00
N/A	Monster Beverage Corporation (MNST)	\$ 1,001.00	\$ 15,000.00
N/A	Morgan Stanley (MS)	\$ 1,001.00	\$ 15,000.00
N/A	Morgan Stanley PFD 5.85%	\$ 15,001.00	\$ 50,000.00
N/A	Morgan Stanley PFD 6.375%	\$ 15,001.00	\$ 50,000.00
N/A	Morgan Stanley Var Rt 07/15/2165 dtd 03/19/2015	\$ 250,001.00	\$ 500,000.00
N/A	Mosaic Company (MOS)	\$ -	\$ -
N/A	MPLX LP Common Units Representing Limited Partner Interests (MPLX)	\$ 15,001.00	\$ 50,000.00
N/A	MS St Dev BK SPL OBLG Pearl Public School Dist 4.0%; Due 04/01/21	\$ 15,001.00	\$ 50,000.00
N/A	NetApp, Inc. (NTAP)	\$ 1,001.00	\$ 15,000.00
N/A	Netflix, Inc. (NFLX)	\$ 1,001.00	\$ 15,000.00

N/A	Newell Brands Inc. (NWL)	\$ 1,001.00	\$ 15,000.00
N/A	Newmont Mining Corporation (NEM)	\$ 15,001.00	\$ 50,000.00
N/A	News Corporation - Class A (NWSA)	\$ 1,001.00	\$ 15,000.00
N/A	NextEra Energy Cap Var Rt 5/01/2079; dtd 4/04/2019	\$ 1,001.00	\$ 15,000.00
N/A	Nielsen N.V. Ordinary Shares (NLSN)	\$ -	\$ -
N/A	Nike, Inc. (NKE)	\$ 15,001.00	\$ 50,000.00
N/A	NiSource Inc (NI)	\$ 1,001.00	\$ 15,000.00
N/A	Nisource Inc PFD 6.5%	\$ -	\$ -
N/A	Nordstrom, Inc. (JWN)	\$ 15,001.00	\$ 50,000.00
N/A	Northern AZ Univ RefSys 5.0%; Due 06/01/19	\$ -	\$ -
N/A	Note Receivable from Glick Gallery, Minneapolis, MN	\$ 100,001.00	\$ 250,000.00
N/A	NVIDIA Corporation (NVDA)	\$ 15,001.00	\$ 50,000.00
N/A	NY Community Bancorp Inc PFD 6.375%	\$ 1,001.00	\$ 15,000.00
N/A	NY St Dorm Auth St Personal Inc Tax Rev Ref-Ser E Due 03/15/22	\$ 15,001.00	\$ 50,000.00
N/A	Ohio St Ref-Ser A Ltd Tax 5.0%; Due 09/01/23	\$ 15,001.00	\$ 50,000.00
N/A	Optum Bank - HSA Account	\$ 1,001.00	\$ 15,000.00
N/A	Oracle Corporation (ORCL)	\$ 15,001.00	\$ 50,000.00
N/A	O'Reilly Automotive, Inc. (ORLY)	\$ 1,001.00	\$ 15,000.00
N/A	PA St Higher Edl Facs Auth Rev Trustees Univ PA 5% Sep 01 2026	\$ 15,001.00	\$ 50,000.00
N/A	PACCAR Inc. (PCAR)	\$ 1,001.00	\$ 15,000.00
N/A	Palm Beach Cnty Fl Ref-Rec & Cultural Facs Unltd Tax 5%	\$ 15,001.00	\$ 50,000.00
N/A	Parker-Hannifin Corporation (PH)	\$ 1,001.00	\$ 15,000.00
N/A	Paychex, Inc. (PAYX)	\$ 1,001.00	\$ 15,000.00
N/A	PayPal Holdings, Inc. (PYPL)	\$ 15,001.00	\$ 50,000.00
N/A	PEG Secondary Private Equity Investors III LP	\$ 100,001.00	\$ 250,000.00
N/A	Pepsico, Inc. (PEP)	\$ 15,001.00	\$ 50,000.00
N/A	PGIM Total Return Bond Fund (PDBAX)	\$ 250,001.00	\$ 500,000.00
N/A	Phillip Morris International Inc (PM)	\$ 1,001.00	\$ 15,000.00
N/A	Phillips Adam Smith Partners, LLC (f/k/a Adam Smith Fund, LLC)	\$ 100,001.00	\$ 250,000.00
N/A	Phoenix AZ Ref Unlimited Tax 4% 07/01/2021 dtd 06/24/2014	\$ 15,001.00	\$ 50,000.00
N/A	PNC Financial Services 6 3/4% 02/01/2166 dtd 07/27/2011	\$ 15,001.00	\$ 50,000.00
N/A	PNC Financial Services Group, Inc. (PNC)	\$ 1,001.00	\$ 15,000.00

N/A	PNC Financial Services VAR RT 12/31/2049 dtd 05/07/2013	\$ -	\$ -
N/A	PPG Industries, Inc. (PPG)	\$ 1,001.00	\$ 15,000.00
N/A	Principal Financial Group Inc (PFG)	\$ 1,001.00	\$ 15,000.00
N/A	Procter & Gamble Company (PG)	\$ 50,001.00	\$ 100,000.00
N/A	Progressive Corporation (PGR)	\$ 1,001.00	\$ 15,000.00
N/A	ProLogis, Inc. (PLD)	\$ 1,001.00	\$ 15,000.00
N/A	Prudential Financial, Inc. (PRU)	\$ 1,001.00	\$ 15,000.00
N/A	PS Business Parks Inc. PFD	\$ 1,001.00	\$ 15,000.00
N/A	Public Storage (PSA)	\$ 1,001.00	\$ 15,000.00
N/A	Public Storage PFD 5.6%	\$ 1,001.00	\$ 15,000.00
N/A	PulteGroup, Inc. (PHM)	\$ 1,001.00	\$ 15,000.00
N/A	Putnam Cnty TN Ref Unlimited Tax 5%; Due4/01/2024; Dtd 2/17/2017	\$ 15,001.00	\$ 50,000.00
N/A	PVH Corp. (PVH)	\$ 1,001.00	\$ 15,000.00
N/A	QUALCOMM Incorporated (QCOM)	\$ 1,001.00	\$ 15,000.00
N/A	Quest Diagnostics Incorporated (DGX)	\$ 1,001.00	\$ 15,000.00
N/A	Raleigh NC Comb Enterprise Sys Rev 5% Mar 01 2021 dtd 02/10/2011	\$ 15,001.00	\$ 50,000.00
N/A	Red Hat, Inc. (RHT)	\$ -	\$ -
N/A	Regeneron Pharmaceuticals, Inc. (REGN)	\$ 1,001.00	\$ 15,000.00
N/A	Regions Financial Corp PFD 5.7%	\$ 15,001.00	\$ 50,000.00
N/A	Regions Financial Corporation (RF)	\$ 1,001.00	\$ 15,000.00
N/A	Republic Services, Inc. (RSG)	\$ 1,001.00	\$ 15,000.00
N/A	Rockwell Automation, Inc. (ROK)	\$ 1,001.00	\$ 15,000.00
N/A	Roper Technologies, Inc. (ROP)	\$ 1,001.00	\$ 15,000.00
N/A	Ross Stores, Inc. (ROST)	\$ 1,001.00	\$ 15,000.00
N/A	S&P Global Inc. (SPGI)	\$ 1,001.00	\$ 15,000.00
N/A	Salesforce.com Inc (CRM)	\$ 1,001.00	\$ 15,000.00
N/A	SC ST Jobs-Econ Dev Auth Hosp Rev- Palmetto Hlth-Ser A 5%; Due 8/1/28	\$ 1,001.00	\$ 15,000.00
N/A	SD St BRD of Rghts HSG & Auxillary Fac Sys Rev 5.0%; Due 04/01/19	\$ -	\$ -
N/A	Seagate Technology PLC - Ordinary Shares (STX)	\$ 1,001.00	\$ 15,000.00
N/A	Sherwin-Williams Company (SHW)	\$ 1,001.00	\$ 15,000.00
N/A	Simon Property Group, Inc. (SPG)	\$ 1,001.00	\$ 15,000.00
N/A	Six Circles International Unconstrained Equity Fund (CIUEX)	\$ 100,001.00	\$ 250,000.00
N/A	Six Circles Tax Aware Ultra Short Duration Fund (CUTAX)	\$ 100,001.00	\$ 250,000.00

N/A	Six Circles U.S. Unconstrained Equity Fund (CUSUX)	\$ 250,001.00	\$ 500,000.00
N/A	SLM Corp Var Rate PFD	\$ 1,001.00	\$ 15,000.00
N/A	Southwest Airlines Company (LUV)	\$ 1,001.00	\$ 15,000.00
N/A	SPDR Euro STOXX 50 ETF (FEZ)	\$ 100,001.00	\$ 250,000.00
N/A	SPDR S&P 500 ETF Trust (SPY)	\$ 1,000,001.00	\$ 5,000,000.00
N/A	Starbucks Corporation (SBUX)	\$ 1,001.00	\$ 15,000.00
N/A	Starwood SOF XI Private Investors	\$ 100,001.00	\$ 250,000.00
N/A	State Street Corp Var Rt 09/15/2165 dtd 05/21/2015	\$ 15,001.00	\$ 50,000.00
N/A	State Street Corporation (STT)	\$ 15,001.00	\$ 50,000.00
N/A	Stifel Financial Corporation PFD 6.25%	\$ 1.00	\$ 1,000.00
N/A	Stryker Corporation (SYK)	\$ 1,001.00	\$ 15,000.00
N/A	SVB Financial Group PFD	\$ 1,001.00	\$ 15,000.00
N/A	Symantec Corporation (SYMC)	\$ -	\$ -
N/A	Synchrony Financial (SYF)	\$ 15,001.00	\$ 50,000.00
N/A	Synovus Financial Corp PFD 6.3%	\$ 15,001.00	\$ 50,000.00
N/A	Sysco Corporation (SYY)	\$ 1,001.00	\$ 15,000.00
N/A	T Rowe Price Summit Muni Inc (PRINX)	\$ 500,000.00	\$ 1,000,000.00
N/A	T. Rowe Price Group, Inc. (TROW)	\$ 1,001.00	\$ 15,000.00
N/A	Target Corporation	\$ 1,001.00	\$ 15,000.00
N/A	TCF Financial Co. PFD	\$ -	\$ -
N/A	TE Connectivity Ltd. New Switzerland Registered Shares (TEL)	\$ -	\$ -
N/A	Texas Instruments Incorporated (TXN)	\$ 15,001.00	\$ 50,000.00
N/A	Textron Financial Corp VAR RT 02/15/2067 DTD 02/08/2007	\$ 15,001.00	\$ 50,000.00
N/A	The Kraft Heinz Company (KHC)	\$ 1,001.00	\$ 15,000.00
N/A	Thermo Fisher Scientific Inc (TMO)	\$ 15,001.00	\$ 50,000.00
N/A	Three Rivers MN PK Dist Ser A Unlimited Tax 5.0% 02/01/2025 dtd 12/12/2019	\$ 15,001.00	\$ 50,000.00
N/A	Tiffany & Co. (TIF)	\$ 1,001.00	\$ 15,000.00
N/A	TJX Companies, Inc. (TJX)	\$ 1,001.00	\$ 15,000.00
N/A	Transdigm Group Incorporated Transdigm Group Inc. - (TDG)	\$ 15,001.00	\$ 50,000.00
N/A	Truist Financial 12/15/2165 dtd 05/02/2017	\$ 1,001.00	\$ 15,000.00
N/A	Truist Financial Corporation (TFC)	\$ 1,001.00	\$ 15,000.00
N/A	Truist Financial Var Rt 06/15/2166 dtd 11/07/2014	\$ 250,001.00	\$ 500,000.00
N/A	Twenty-First Century Fox, Inc. - Class A (FOXA)	\$ -	\$ -

N/A	U.S. Bancorp	\$ 15,001.00	\$ 50,000.00
N/A	U.S. Bancorp PFD 5.5%	\$ 15,001.00	\$ 50,000.00
N/A	U.S. Bancorp Var Rt 01/15/2166 dtd 11/23/2015	\$ 15,001.00	\$ 50,000.00
N/A	Union Pacific Corporation (UNP)	\$ 15,001.00	\$ 50,000.00
N/A	United Continental Holdings (UAL)	\$ 1,001.00	\$ 15,000.00
N/A	United Parcel Service, Inc. (UPS)	\$ 1,001.00	\$ 15,000.00
N/A	Universal Health Services, Inc. (UHS)	\$ 1,001.00	\$ 15,000.00
N/A	Unum Group (UNM)	\$ 1,001.00	\$ 15,000.00
N/A	US Bank - Checking	\$ 1,001.00	\$ 15,000.00
N/A	V.F. Corporation (VFC)	\$ 1,001.00	\$ 15,000.00
N/A	VA St Pub Sch Auth Sch Technology & Sec NTS Ser V &; Due 04/15/20	\$ 15,001.00	\$ 50,000.00
N/A	Vanguard Intm Trm T/E - ADM (VWIUX)	\$ 1,000,001.00	\$ 5,000,000.00
N/A	Varian Medical Systems, Inc. (VAR)	\$ 1,001.00	\$ 15,000.00
N/A	Verizon Communications Inc. (VZ)	\$ 15,001.00	\$ 50,000.00
N/A	Vertex Pharmaceuticals Incorporated (VRTX)	\$ 1,001.00	\$ 15,000.00
N/A	Viacom Inc Var Rt 02/28/2057 dtd 02/28/2017	\$ 1,001.00	\$ 15,000.00
N/A	Visa Inc. (V)	\$ 15,001.00	\$ 50,000.00
N/A	Vodafone Group PLC Var Rt 04/04/2079; dtd 04/04/2019	\$ 15,001.00	\$ 50,000.00
N/A	Voya Financial Inc VAR RT Perp Mat dtd 09/12/2018	\$ 1,001.00	\$ 15,000.00
N/A	Voya Financial Inc. PFD 5.35%	\$ 1,001.00	\$ 15,000.00
N/A	W.W. Grainger, Inc. (GWW)	\$ -	\$ -
N/A	WA St Refunding Motor Vehicle Fuel Series R D	\$ 15,001.00	\$ 50,000.00
N/A	Wachovia Cap Trust III Var Rt Mar 15 2166 dtd - 2/1/2006	\$ 250,001.00	\$ 500,000.00
N/A	Walgreens Boots Alliance, Inc. (WBA)	\$ 1,001.00	\$ 15,000.00
N/A	Wal-Mart Stores, Inc. (WMT)	\$ 15,001.00	\$ 50,000.00
N/A	Walt Disney Company (DIS)	\$ 15,001.00	\$ 50,000.00
N/A	Waste Management, Inc. (WM)	\$ 1,001.00	\$ 15,000.00
N/A	Waters Corporation (WAT)	\$ 1,001.00	\$ 15,000.00
N/A	Wells Fargo & Company (WFC)	\$ 1,001.00	\$ 15,000.00
N/A	Wells Fargo & Company 7.98% PFD Stk 09/15/2165 dtd 02/08/2008	\$ -	\$ -
N/A	Wells Fargo & Company Var Rt 06/15/2166 dtd - 01/23/2015	\$ 50,000.00	\$ 100,000.00

N/A	Wells Fargo & Company VAR RT 12/15/2165 dtd 04/22/2014	\$ 15,001.00	\$ 50,000.00
N/A	Welltower Inc. (HCN)	\$ 1,001.00	\$ 15,000.00
N/A	Western Digital Corporation (WDC)	\$ 15,001.00	\$ 50,000.00
N/A	Westinghouse Air Brake Technologies Corporation (WAB)	\$ 1,001.00	\$ 15,000.00
N/A	Westrock Company (WRK)	\$ 15,001.00	\$ 50,000.00
N/A	Weyerhaeuser Company (WY)	\$ -	\$ -
N/A	WI St Clean Water Revenue Refunding Series 1	\$ 15,001.00	\$ 50,000.00
N/A	Willis Towers Watson Public Limited Company Ordinary Shares (WLTW)	\$ 1,001.00	\$ 15,000.00
N/A	WV St Ser A Unltd Tax 5.0%; Due 12/01/23	\$ 15,001.00	\$ 50,000.00
N/A	Wynn Resorts, Limited (WYNN)	\$ 1,001.00	\$ 15,000.00
N/A	Xerox Corporation (XRX)	\$ -	\$ -
N/A	Yum China Holdings, Inc. (YUMC)	\$ 1,001.00	\$ 15,000.00
N/A	Yum! Brands, Inc. (YUM)	\$ 1,001.00	\$ 15,000.00
	TOTAL:	\$ 30,368,511.00	\$ 96,023,000.00